INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)											
(51) International Patent Classification <sup>5</sup> :	Δ1	(11) International Publication Number: WO 94/14									
G06F 9/00, 13/14		(43) International Publication Date:	23 June 1994 (23.06.94)								

(21) International Application Number:

PCT/US93/11506

(22) International Filing Date:

29 November 1993 (29.11.93)

(30) Priority Data:

987.365

7 December 1992 (07.12.92)

US

(71) Applicant: OVERLORD, INC. [US/US]; 90 South LaSalle Street, Suite 2760, Chicago, IL 60603 (US).

(72) Inventor: KENNEDY, Donald, J.; 1725 Westbridge Court, Southbridge Commons, Schamburg, IL 60194 (US).

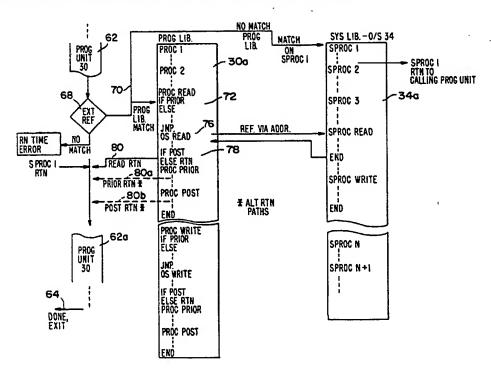
(74) Agents: VARGO, Paul, M. et al.; Dressler, Goldsmith, Shore & Milnamow, Two Prudential Plaza, Suite 4700, Chicago, IL 60601 (US).

(81) Designated States: AU, FI, HU, JP, KR, NO, NZ, PL, RU, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

### Published

With international search report.

(54) Title: INTERCEPTION SYSTEM AND METHOD INCLUDING USER INTERFACE



# (57) Abstract

A method of intercepting pre-existing computer instructions in order to modify and/or enhance pre-existing program units (30) and supply user entry points determines, in one or more embodiments, if a reference can be found in a program unit (30). If so located, the corresponding method provides user code entry points (steps 72, 78) before and after the intercepted instruction, perhaps in modified and/or enhanced form, is executed (step 76). Blocks of user supplied code can be provided at the entry points to enhance, upgrade, and/or expand upon the intercepted instruction, thereby enhancing the pre-existing program unit (30).

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MIR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	Œ	Ireland	NZ	New Zealand
BJ	Benin	IT	<b>Italy</b>	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Кепуа	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Pederation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	LI	Liechteustein	SN	Senegal
CN	China	LK	Sri Lanka	`TD	Chad
CS	Czechoslovakia	, LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	US	United States of Americ
FI	Finland	ML	Mali	UZ	Uzbekistan
FR	Prance	MN	Mongolia	VN	Viet Nam
GA	Gabon				

- 1 -

# INTERCEPTION SYSTEM AND METROD INCLUDING USER INTERFACE

# Field of the Invention

The invention relates to single and multiprocessor computer systems that supply system services to requesting program units running on or in such systems. More particularly, the invention relates to methods of enhancing or modifying the run-time operation of selected, pre-existing program units.

# 10 Background of the Invention

5

15

20

25

30

Computer systems have, over a period of years, evolved from stand-alone individual processors to various forms of multi-processor systems. Many computer systems use program units, sometimes referred to simply as "programs".

The program units contain computer instructions which the computer system can execute in order to perform specific functions. These program units may have been created from other program units. However, in most cases, a human being was involved at some point in the creation of the set of computer instructions being executed.

Program units are intended to meet certain known or projected needs when implemented. However, most program units designed in the past or being designed in the present will not conform to all future needs.

Prior art systems have approached the need to be flexible to deal with future needs in many ways. In many cases, prior approaches have not been cost effective and/or do not allow the user many options on their implementation.

- 2 -

The evolution and combination of new hardware systems, new operating systems, new program units, new system procedures, new data structures, or new user interfaces may require that the original program units be modified, recompiled, or worse, abandoned due to compatibility and/or cost related problems. Some of the prior art approaches require extensive training on both the use and implementation of these methods. Some users may not be able to afford the time, money, and human resources to implement the prior art approaches.

5

10

15

20

25

30

35

This need for flexibility in updating or modifying existing programs is especially apparent in multi-processor distributed systems. Several different types of problems have provided the impetus to the drive toward multi-processor systems.

One impetus has been a desire to share information more effectively among diverse users. An approach to this problem has been to couple a variety of processors, which may or may not be the same, together via a local area network. Such networks enable many different individuals and their associated processors to have access to common information and to have access to one another.

Yet another impetus toward multi-processor environments has been a desire to create highly reliable computer systems out of less reliable components. Such systems are typically used in environments such as banking, transaction processing, or inventory control, wherein reliability is of paramount importance.

One such family of computer systems is marketed by Tandem of Cupertino, California. Tandem systems can be implemented in stand-alone, multiple processor configurations, or as multiple interconnected nodes. Each node corresponds to one or more multiple processor systems.

- 3 -

Where major program systems, which might include dozens of program units, to support multiple remote transaction terminals or inventory control functions are installed and running on a production basis in a multiple processor environment, the abovenoted problem of updating and maintaining program units becomes very difficult and expensive to solve. For example, a new operating system might be adopted by the hardware vendor. In such an instance, the system operator might have to install the new operating system to receive continuing support and operating system maintenance.

5

10

15

. 20

25

30

35

If the change in operating systems is not transparent to the existing program systems, they may need to be modified or recompiled. This process is not only expensive and time consuming, but in a multi-program, multi-processor environment can result in errors which could cause catastrophic results.

In addition, where the software had been obtained from a third party vendor, the user might not have the source code or documentation necessary to make modifications, expansions, or recompilations. Worse yet, the third party vendor will, in all likelihood, not continue to support or provide new releases to the user.

Thus, there continues to be a need to be able to safely upgrade or modify existing programs in a cost effective fashion as the requirements or the environment change. Preferably, this need could be met by system operating personnel without a need to return to the original software vendor or to modify the original provided program units.

In addition, in a multiple processor system, the operating environment is continuously changing. As a result, the mix of resources, available processors, and the like, available each time a program unit or a

- 4 -

process is initiated, will be different, depending on what other program units or processes are active at any given time.

Thus, there is continuous problem of resource allocation and management which must be addressed in such systems. One known approach, marketed by the assignee of the present application under the name of "Automatic Network Balancing System" for Tandem computers, provides resource allocation services and resource management in such environments based on predetermined and fixed allocation methods.

5

10

15

20

25

30

35

In the known automatic network balancing system, the performance factors which are taken into account to select the best or most appropriate processor to which a process is to be allocated, include availability or busy state of a given processor, available memory, swap rate, dispatch rate, memory queue length, jobs that are available on the ready list, as well a number of others. The various performance factors are evaluated using a weighing system. The processor which appears to be most appropriate is then selected to run the process.

The known load balancing system has been very successful and can be used to substantially increase performance of Tandem-like systems. Nevertheless, the method of selecting the most appropriate processor to be allocated to carry out a given task does not take into account site or user needs for diversity or customization between one installation and another.

Thus, there continues to be a need for a more flexible approach which can take into account variations from site to site. Preferably, such an approach could be implemented to allow site specific input to the processor selection process or to expand upon the services provided to a given process which is being

- 5 -

executed. Preferably, the implementation will be transparent to the respective process.

# Summary of the Invention

5

10

15

20

25

30

35

This invention is directed to an apparatus and a method of run-time interception of pre-existing computer instructions in program units in order to support user hooks or entry points which can be used to modify and/or enhance the originating and/or receiving program units, at the user's discretion. As a result, the program units can meet the user's present needs and allow modification by the users, on an as needed basis, to support the future needs. Using the present invention, this can be accomplished without requiring the support and/or guidance and/or expertise of the original authors and/or inventors of the program units being intercepted or any additional physical, electronics, or mechanical device.

The above result is achieved by intercepting system service calls which are made by executing program units at run time when the program units request that the operating system of the computer system provide a service on their behalf. The interception can take place in the main program units, user library program units, system library program units, or a combination of the program units listed above.

The method also contemplates that the interception of the system service calls and user hooks or entry points would be placed in several types of program units. This gives the users many options as to where the interceptions of the system service calls will take place. Further, it allows the user to implement the invention on a program unit by program unit basis, if desired, or to implement the invention on a system by system basis.

- 6 -

In accordance with one aspect of the invention, an apparatus and a method are provided for altering or translating one or more steps of a pre-existing method for carrying out a predetermined function. Site or user defined steps or functions can be incorporated into the process for customization or specialization.

5

10

15

20

25

30

The method can be used, for example, for allocating resources within a multiple processor computer system. In other aspects of the invention, different types of functions can be implemented beyond those specified in the pre-existing method.

The method includes detecting a step which is a candidate for alteration. The alteration process could include carrying out a different function from that which the step initially requested, or for translating or expanding upon the step.

A determination is made if a previously defined, user supplied, pre-alteration set of steps is to be executed before carrying out one or more predetermined altering or translating steps. In response to this determining step, the group of site or user supplied pre-alteration or pre-translation steps is executed as indicated.

The method then includes executing the one or more predefined altering or translating steps. Such steps could include, in accordance with one aspect of the invention, determining which of a plurality of available resources is to be used to carry out the requested step which is the candidate for alteration.

Alternately, the predefined altering steps could provide enhanced functions not called for in the original candidate steps. Such enhanced functions may have become desirable, so long as they can be provided

- 7 -

so as to be transparent to the original candidate step or steps.

The method then makes a determination as to whether or not there are one or more post-alteration, site or user supplied steps. These steps can then be executed as indicated after executing the set of altering steps.

5

10

15

**20**.

25

30

In accordance with yet another aspect of the invention, the method can be used for the purpose of allocating resources within a multiple node, multiple processor system. Each of the nodes can include one or more computer processors. The nodes can be physically displaced from one another, and can be coupled together via communication lines.

This aspect includes the steps of:

carrying out a sequence of steps in a
predetermined process;

detecting a step in the sequence which is to be carried out and which is a candidate for translation; intercepting the detected step and determining if a previously defined, user supplied, pre-translation set of steps exists;

interrupting the sequence and executing the user supplied pre-translation set of steps as indicated;

translating the candidate step into a predetermined sequence of one or more predetermined translated steps;

subsequent to the translation step, determining if a previously defined, user supplied, post-translation set of steps exists;

executing the user supplied, post-translation set of steps as indicated; and

returning to the sequence of steps immediately after the detected step, thereby continuing the process.

- 8 -

In yet another aspect of the invention, the method can be used for the purpose of resource allocation for the purpose of not only optimizing processing throughput, but also for the purpose of creating redundant databases automatically in spaced apart locations for purposes of other functions, such as disaster recovery, for instance.

These and other aspects and attributes of the present invention will be discussed subsequently with reference to the following drawings and accompanying specification.

# Brief Description Of The Drawing

5

10

15

20.

25

30

35

Figure 1 is a schematic diagram of a multiple node, multiple processor network;

Figure 2 is a schematic diagram of an environment in which a program unit might be executed;

Figure 3 is a flow diagram of a method in accordance with the present invention; and

Figure 4 is a flow diagram of an alternate method in accordance with the present invention.

# Detailed Description of the Preferred Embodiment

While this invention is susceptible of embodiment in many different forms, there is shown in the drawing, and will be described herein in detail, specific embodiments thereof with the understanding that the present disclosure is to be considered as an exemplification of the principles of the invention and is not intended to limit the invention to the specific embodiments illustrated.

The present method makes it possible for a program user or a system operator to update and modify pre-existing programs without requiring the recompiling of the source codes of the respective program unit(s).

- 9 -

This is accomplished by intercepting selected calls or references to procedures, program units, or variables that can be external or internal to a pre-existing executing program unit. One type of interceptable instruction is an operating system service call.

5

10

15

20

25

30

35

On interception, the operating system will look for the called procedure in a library linked to the executing program unit, if such exists. In the absence of a program related library, or in the absence of a match with the called procedure in the executing program unit, the operating system will then attempt to find the called procedure or program unit in its system library.

Where a match is found in either the program library or the system library, that procedure or program unit is then executed. If there is no match, an indication of a run-time error should be returned to the calling program unit.

The present method makes available "user hooks" in the respective library procedures or program units. The phrase "user hooks" as used herein refers to intentionally created entry points or steps wherein a user or system operator can insert one or more computer instructions (blocks of code) for the purpose of transparently updating or modifying the executing program unit. Hence, the user has greater control over its computer system(s) and is able to make modifications or enhancements outside of the executing program unit. This avoids any need to modify or recompile that program unit.

Another advantage of the present method is that it can be used where the program library is incorporated into the program unit itself. The user hooks provide a way for a user or operator to create a bridge between various versions or releases of software packages, as well as program units.

Figure 1 illustrates schematically a multiple processor computer network 10. The network 10 includes a plurality of nodes 12 through 18.

Each of the nodes 12 through 18 can include one or more computer systems. Representative examples include Tandem-type multiple processor computer systems which might include up to 16 processor modules.

5

10

15

20

25

30

35

It will be understood that a node, such as node 12, could be implemented as a stand-alone, single processor computer system. Neither the number of processors, nor the architecture thereof, nor the presence or absence of communication links are a limitation of the present invention. The present invention can be advantageously practiced in conjunction with a single, stand-alone system.

Each of the nodes 12 through 18 can communicate with at least one other node via communication channels, such as the channels 20a through 20e. The network 10 can be geographically disbursed with the nodes 12 through 18 coupled, at least in part, via long distance communication links or other communications methods.

Figure 2 illustrates schematically a program unit 30 which is to be executed on a processor 32. As is conventional, the program unit 30 communicates with the processor 32 via an operating system 34. The operating system 34 provides a variety of services to the executing program unit.

The program unit 30 and operating system 34 would normally be stored in one or more storage devices or units of the processor 32. The details of such storage and the process wherein the operating system 34 initiates executing of the program unit 30 on the processor 32 are known and are not a limitation of the present invention.

- 11 -

As has long been recognized, one aspect of an operating system is to enhance the efficiency of utilization of the processor 32 as well as to improve the speed and ease of creation of programs such as the program unit 30. In this regard, the operating system 34 provides a variety of predefined commands, so-called "System Service Calls" (SSC), which carry out certain predefined functions when requested by a calling program unit.

5

10

15

.20

25

30

Representative system service calls include a command to carry out a "read" function. A "read" request, based on supplied parameters, could request a read from a disk drive or other types of magnetic storage, or could request a read from a terminal or other devices.

Alternately, the operating system might support a system service call, such as a "write" to a storage unit or a device. A "write" request could send data or programs to communication lines, printers, or the like. A more extensive list of system service calls of a type supported by Tandem's GUARDIAN Operating System is attached hereto as Exhibit A.

In accordance with the present invention, there is interposed between the program unit 30 and the operating system 34 a functional layer 36 which includes the "user hooks" or entry points. At these points, an operator, a user, or a site can expand upon or modify external references or calls intercepted by the operating system.

Once an instruction has been intercepted, a first user hook is then checked or executed. This entry point can include an initial block of user or operator supplied code. This initial or "prior" block is to be executed before any modification and/or enhancement of

the function which is the subject of the intercepted instruction is carried out.

The intercepted call or service request may then be executed as required. This execution, as described below, can be modified and/or enhanced, or expanded upon in a predetermined fashion.

5

10

15

20

25

30

35

Then, a second user hook or entry point may be checked or executed to determine whether or not there is any post-translation, user, or site specific code which is to be executed. If so, that code is executed. Finally, appropriate parameters and/or data may be returned to the program unit 30 which had previously made the service request or call.

In accordance with the present invention, the interception process is carried out in one embodiment using a hierarchy that is very often imposed by the operating system between program library calls and system library calls. As a first step in carrying out the call or the functional request, if a program library 30a is associated with the program unit 30, the operating system 34 checks the program library 30a first to determine if the intercepted external reference or call is present in the program library.

By providing counterparts in the library 30a to some or all of the system service calls or functions of the operating system 34 before the operating system intercepts requests for such services from the program unit, the corresponding procedure in the program (not the system) library will be executed. This provides a vehicle to modify or expand such requests in a predetermined fashion.

Hence, by associating with the program library structure 30a, a plurality of modified operating system calls, when the program 30 executes a particular service call, service can be provided in accordance with that

- 13 -

request. In addition, on a substantially transparent basis to the executing program unit, the service can be enhanced and/or modified, or completely changed in a predetermined fashion. If and when the appropriate parameters and/or data are then returned to the program unit 30, that program can then continue executing subsequent instructions.

5

10

15

20

25

30

It will be understood that the library 30a is not required to practice the present method. An equivalent structure can be implemented in the operating system 34 as discussed subsequently or in the program unit 30 itself.

Example 1 illustrates the process.

Subsequently referred to line numbers are listed along the left-hand margin of Example 1.

In Example 1, a read operation present in the program unit 30 could be intercepted and/or modified or translated on a substantially transparent basis in the interface layer 36. Line 40 of Example 1, defines the procedure to be executed as a "read" function with n parameters associated therewith.

The read process begins in a line 42. Line 44 represents a first user hook or entry point. A call is made to a procedure which includes one or more previously specified site specific or operator specific instructions which are not normally part of the "read" procedure. Subsequent to the execution of the procedure of line 44, the actual "read" procedure can be carried out as indicated schematically in line 46.

It should be noted that the actual read procedure which could be carried out could be a read procedure which is expanded and/or substantially different from the originally contemplated and specified read procedure in the calling program unit 30. Thus, a

bridging function can be provided, if necessary, between different program versions and/or releases.

- 15 -

40	PROC READ $(1, 2 \ldots n)$
42	BEGIN
44	CALL PRIOR $(1, 2 \ldots n)$
46	JUMP TO READ FUNCTION VIA 0/S LOGICAL ADDRESS
48	CALL POST $(1, 2 \ldots n)$
50	END
	PROC PRIOR $(1, 2 \ldots n)$
	BEGIN USER INSTRUCTIONS CAN BE INSERTED AT THIS POINT IF DESIRED
	END
	PROC POST (1, 2 n)
	BEGIN USER INSTRUCTIONS CAN BE INSERTED AT THIS POINT IF
	DESIRED END
	42 44 46 48

20 EXAMPLE 1

- 16 -

Line 48 is a second user hook or entry point. A procedure is called which includes one or more site specific or operator specific instructions which may be carried out after the read function is carried out. The end of the procedure is indicated in line 50.

It will be understood that the location, number, or function of the user hooks are not a limitation of the present invention. In addition, the present invention contemplates the use of multi-levels of entry points, such as in the program unit, the program library, or the system library.

5

10

15

20

25

30

35

Upon a return from the read procedure of Example 1 to the program unit 30, that program will continue execution which can be based on returned parameters or data, if any, which resulted from the read procedure initiated therein. Hence, information actually supplied to the program unit 30 could come from a completely different location and/or source than that originally contemplated by the program unit 30 and this change could be completely transparent thereto.

Figure 3 illustrates a flow diagram of an embodiment of the method of the present invention. The process of Figure 3 will be explained below in combination with the text of Example 1. In the embodiment of Figure 3, the program library 30a has been previously linked to the program unit 30 and is available at run time. Using the above-noted hierarchal approach, the operating system 34 checks the library 30a first when the program unit 30 calls an external function or service, or tries to initiate execution of an external procedure.

The library 30a has been previously loaded with procedures corresponding to at least some of the external references for the program 30. The names of some of the previously loaded library procedures must be

the same as the names of system service calls that are to be expanded upon and/or modified. (Usually, this is regarded as an error to be carefully avoided!)

In addition, it is necessary to be able to acquire, usually via the operating system, the logical address(es) of the respective system service call(s) in the operating system's library to be intercepted. The respective library procedure requires this information to be able to call that service function without using the name thereof.

5

10

15

20

25

30

35

For instance, in Example 1, a "read" system service call is to be intercepted and/or modified. The program library, as a result, includes a PROC READ. In line 46, to call the actual read in the operating system library, a: JUMP TO LOGICAL ADDRESS OF SSC READ must be executed to prevent PROC READ from calling itself.

Referring to Figure 3, the execution of the program unit 30 has been previously initiated. Step 62 represents execution of the program unit 30 until an external request of some sort is made or until the program unit 30 is completed, at which point it terminates in a step 64.

In the event that the program unit 30 makes an external request, such as a request for a "read" or "write" for example, the operating system 34, in step 68, first checks the program library 30a, if any, to determine whether or not this function or procedure is found therein. If the called function, procedure, or external reference is located by the operating system 34 in the library 30a, for example, the "read" procedure of Example 1, that procedure is initiated.

In a step 72, the first user hook or entry point is encountered. This corresponds to the call at line 44 of Example 1. If there exists operator or site specific procedures and/or code, such steps should be

- 18 -

executed. This corresponds to carrying out the procedure of line 44 of Example 1.

5

10

15

20

25

30

35

In a step 76, the system service call or other function, called by program unit 30, is carried out, corresponding to carrying out the "read" function of line 46 of Example 1. The executed procedure from the operating system that is executed may be <u>different</u> from that contemplated by the creator of the program unit 30.

In a step 78, the second or "post" user hook or entry point is encountered. This corresponds to carrying out the procedure of line 48 of Example 1. Then, there is a return to execution of the program unit 30 in a step 80. While executing user hook instructions, alternate return paths, such as step 80a or step 80b could be provided by the user.

In this example, if the called procedure or service request is not found in the library 30a, and if it is in the system library, then, in a step 70, the requested service or procedure is carried out, perhaps in combination with other services of the operating system 34. Any necessary parameters and/or data are returned to the program unit 30 which continues executing in step 62a.

As can be observed from the process of Figure 3, as a result of the site specific user supplied pretranslation and/or pre-modification steps, the first user hook, such as the process 44, along with the post-translation or post-modification steps, such as the process 48, it is relatively easy for an operator and/or a user to provide extensions, translations, and/or modifications to the original function being requested by the program unit 30. These are all outside of the program unit 30 and are substantially transparent to it.

Figure 4 illustrates an alternate embodiment of the present invention. In the embodiment of Figure

- 19 -

4, the program unit 30 need not have a library 30a associated therewith.

5

10

15

20

25

30

35

However, the names of the procedures or system service calls in the operating system library have been previously altered to distinguish them from the called procedure or "system service call" to be intercepted. With this change, the actual operating system call, under the new name, can subsequently be made. One of these procedures could correspond to the "open" procedure. Renaming pertinent system service routines in the system library, such as "open to "sopen", as illustrated in Figure 4, step 34b, can be done when the operating system is compiled and linked together. In addition, corresponding procedures, as illustrated in Figure 4, step 72a, must be loaded into the system library with the original names of the system service calls to be intercepted.

If the respective system library procedures of the operating system had been previously modified and expanded upon as described above, it would be possible to carry out a corresponding user specified "prior" procedure as identified on line 44 of Example 1 in step 72a, analogous to the step 72 previously discussed. After executing corresponding and/or similar system service calls in step 76a, the user defined instructions represented by the "post" procedure of Example 1 can be executed in a plurality of steps 78a. Subsequently, the operating system 34 returns appropriate parameters and/or data, if any, to the program unit 30, which then continues executing in a step 62a.

Using the previously described method, either the embodiment of Figure 3 or that of Figure 4, makes it possible for a user and/or operator to upgrade, maintain, and/or modify program units, such as the unit 30, to deal with both a changing environment and also

changing functional requirements, now and in the future. It is also possible to modify and/or upgrade system service calls so as to provide substantially different and/or enhanced functions not previously available to the corresponding program units, such as the program unit 30, as well as operating system 34.

5

10

15

20

25

30

35

The above-described instruction interceptions are carried out at run-time, and are substantially transparent to the executing program unit. Source code for the program unit is not required to practice the present method.

By making the "user hooks" or entry points available, as described above, both before and after executing the corresponding system service calls, for example, users and/or operators will be able to more effectively manage, maintain, and upgrade their program units in a very cost effective fashion. Further, because the present method is substantially external to the respective program unit, there should be no impact to third party vendor or maintenance relationships.

Additional representative examples of ways in which the methods of Figures 3 and/or 4 could be used include improved resource allocation in a multi-processor environment by including provision for user specific and/or operator specific modification to resource allocation routines. Redundant write operations can be provided when carrying out the write function to provide multiple, substantially transparent, sets of data which can be used for verification, disaster recovery functions or the like.

Thus, in accordance with the present invention a user interface is provided to, on a substantially transparent basis, modify requests made by an executing program unit for a variety of purposes. This modification process takes place substantially outside of the program unit. It can be substantially outside of the associated

operating system but can be readily modified by the operator and/or the user for purposes of customization.

The present invention has been discussed in terms of translating and/or modifying instructions at run time in a program unit, such as the exemplary program unit 30. It will be understood that the present methods can be used with any type of program unit, such as an application, a utility, or the like. Hence, the present method could also be used to translate and/or modify instructions in programs that may be routinely thought of as part of the operating system.

5

10

15

20 -

25

It will also be understood that the embodiments of Figures 3 and/or 4 could be combined. In addition, it is also within the spirit and scope of the present invention to alternately merge some of the procedures of the program library with the associated main program unit.

Example 2 is a further illustration of the method hereof in source code form.

From the foregoing, it will be observed that numerous variations and modifications may be effected without departing from the spirit and scope of the invention. It is to be understood that no limitation with respect to the specific apparatus illustrated herein is intended or should be inferred. It is, of course, intended to cover by the appended claims all such modifications as fall within the scope of the claims.

- 22 -

# EXHIBIT A

PARTIAL LIST OF TANDEM'S GUARDIAN OPERATING SYSTEM CALLS (WITHOUT PARAMETERS)

ALTER

5

ALTER PRIORITY

10 APS DATA GETPARAM

CONTROL

CREATE

DEFINEADO

**DEFINEINFO** 

15 MEASURINFO

NEWPROCESS

OPEN FILE

PRINTINFO

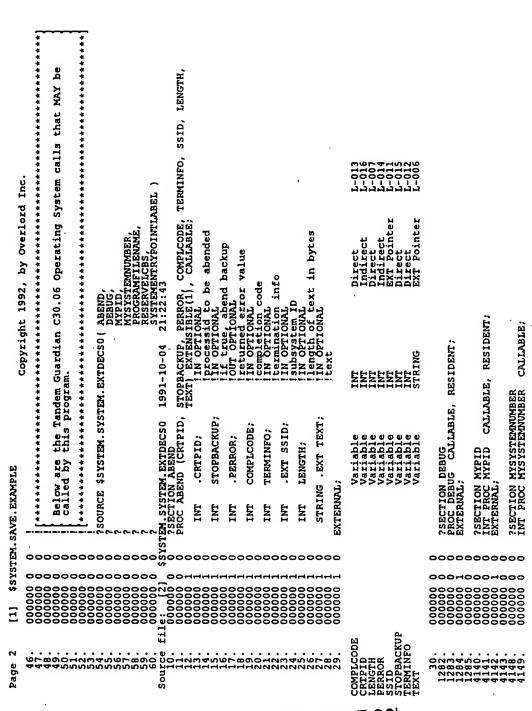
PRINTREAD

20 READ

WRITE

[1] \$SYSTEM.SAVE.EXAMPLE

INSPECT, SYMBOLS, SAVEABEND [************************************	Copyright 1992, By Overlord Inc., All rights reserved Author : Don Kennedy	Purpose : To demonstrate a method of intercepting pre-exsisting computer instructions in order to modify and or enhance pre-exsisting computer instructions and supply user hooks's, without the requirement and or need of one or more of the following:		E. A total knowledge of the users present and or inture needs.  F. A complete understanding of the pre-exsisting instructions.  G. Knowling the users purpose or reasons for the interception.  H. That all the pre-exsisting instructions he executed.			NOTE : The procedure chosen to intercept is only used as an example and can and could be changed to or combined with any EXTERNAL procedure CALL from a program unit.	The computer language chosen to demonstrate this example is only used as an example and can and could be changed to or combined with	another computer language.	The computer system chosen to demonstrate this example on is only used as an example and can and could be changed to or combined with	another computer system or a number of combinations of computer systems.	[ The intercept method used to demonstrate this example is only	used as one example of the method and can and could be changed in		
000	0000	00000		0000		00	000	000	·	00	00	<del></del>	00		
000	000	00000	00000	000	.000	00	000	000	00	00	00	00	00	000	0
000					0000	00000	00000000000000000000000000000000000000	0000 0000 0000 0000	000000	000000	00000	000000	000000	00000	000000
-i.e.	*			118.	21. 22. 23.	24. 25.	26. 27. 28.	29. 30. 31.	32.	34. 35.	36.	38.		42.	



SUBSTITUTE SHEET (RULE 26)

									M ) CALLABLE;	
į				L-003		L-004 L-003		L-003	FION, J PIN, SYSTEM EXTENSIBLE, C L-013 L-006 L-005 L-005	
1002 by Out				Indirect	II) CALLABLE; IE FOR \$RECEIVE IE FOR SENDING	Direct Direct	, LEN) CALLABLE;	Direct Indirect	EEKSTATISTIC 53 . LEN, TIME, LEN, TIME, Direct Direct Direct Direct Indirect	
1 the june		E) CALLABLE OUT		•	SENDCNT) RESERVE RESERVE		L (NAME,		;	
1	Idos	EH.		INT	CEIVECNT, IN I# TO IIN IN TO	INI	POINTLABE POINTLABE IN IN	INT	H 0	GURATION
DECSO		?SECTION PROGRAMFILENAME PROC PROGRAMFILENAME (NAME) INT .NAME; [10]		Variable	N RESERVELCBS SERVELCBS (RECEIVECNT, RECEIVECNT;   # TO SENDCNT;   # TO	Variable Variable	SYSTEMENTRYPOINTLABEL SYSTEMENTRYPOINTLABEL INAME; IEN;	Variable Variable	EM.SAVE.EXAMPLE 1992-12-04 11 SCOURCE \$SYSTEM.ZGUARD.PCPUCTL  EM.ZGUARD.PCPUCTL 1991-08-06 7SECTION GETPEEKSTATISTICS ( C) INT PROC GETPEEKSTATISTICS ( C) INT CPU', LEN', EXT BUP', LEN',	SECTION GETPEEKCONFIGURATION
\$SYSTEM.SYSTEM.EXTDECSO	EXTERNAL;	PROC PROGINE	EXTERNAL;	>	?SECTION PROC RESE INT R INT S	>>	?SECTION INT PROC STRING INT I	>>	\$SYSTEM.SAVE.EXAMFLE  \$SYSTEM.ZGUARD.PCPUCTI \$SYSTEERS  O TREATED CONTROL  O INT PROC GETPEERS  O INT EXT CPU  O INT EXT CPU  O INT EXT CPU  O INT SYSTEER	SECTION
TE	00	0000	0		0000000		000000			0
XS		000			0000		000444			0
[2]		00000			00000000	CNT	000000		fill fill	000000
Page 3	4150.	44444 46644 66322 46322	4635.	NAME		RECEIVECNT SENDCNT	509721. 509721. 509731. 50773.	LEN	Source Source Source Source Source 11990 11991 1	227.

	CALLABLE;		
Inc.	PIN, SY NSIBLE,	L-012 L-013 L-010 L-006 L-005	
Copyright 1992, by Overlord Inc.	INT PROC GETPEEKCONFIGURATION ( CPU, BUF, LEN, TIME, PIN, SYSTEM )  INT CPU,  EXTENSIBLE, CALLABLE;  LEN;  EXTEND TIME;  INT PIN;  EXTERNAL;	EXT Pointer Direct Direct Indirect Direct	
ight 1992	( CPU, BU	(0)	2:13:07
Copyr	CONFIGURATION M;	INT INT INT INT EXED	1992-12-04 12:13:07
OCT.	CPU, CPU, SXT BUE, LEN, TIME, TIME; PIN, PIN,	Variable Variable Variable Variable Variable	XAMPLE
[3] \$SISIEM.ZGUAKD.FCFUCID	INT PROC INT .EXT FIXED INT INT EXTERNAL;	>>>>>	M.SAVE.E
9 3 5			YSTE
210	00000000		\$\$
ν. H	00000000		110
	000000000000000000000000000000000000000		Source file: [1] \$SYSTEM.SAVE.EXAMPLE 64. 000000 0 !
r age	20000000000000000000000000000000000000	BUF CPU LEN PIN SYSTEM TIME	Source 64.

	<u></u>			8 8	fer J		- - - -					
Ğ.	***************************************	itions for the	The names chosen for the pre and post user hooks are only used as an example and these procedures can and could be named other names	e and post user hooks paramaters and or names ded to.	In this example the pre user hook procedure "before TOSVERSION CALL" is called from the intercept TOSVERSION procedure passing the Tandem Guardian procedure TOSVERSION address.	In this example the post user hook procedure "after TOSVERSION CALL" is called from the intercept TOSVERSION procedure passing the Tandem Guardian version level received from the Tandem Guardian procedure TOSVERSION.	***************************************	address );	L-003	; );	L-003	
Copyright 1992, by Overlord Inc.	******	Below are the pre and post EXTERNAL user hook definitions for Tandem Guardian TOSVERSION intercept procedures.	The names chosen for the pre and post user hooks are only used as an example and these procedures can and could be named other name	The parameters chosen to be passed to the pre and post are used only for example and the number of paramaters can and could be changed, deleted, and or added to.	user hook procedure " rcept TOSVERSION proce	. user hook procedure reept TOSVERSION proce level received from t	******	PROC before TOSVERSION CALL (TOSVERSION procedure address INT TOSVERSION procedure address; EXTERNAL;	Direct	PROC after^TOSVERSION^CALL(Guardian^version^level); INT Guardian^version^level; EXTERNAL;	Direct	
Copyright	****	re and post EXTE TOSVERSION inte	s chosen for the le and these pro	meters chosen to only for exampl could be changed	In this example the pre user hook pro is called from the intercept TOSVERSI Guardian procedure TOSVERSION address	In this example the post is called from the inter Tandem Guardian version procedure TOSVERSION.	******	OC before TOSVERSION CALL (TOSVER) INT TOSVERSION procedure address; TERNAL;	INT	OC after^TOSVERSION^CALL( G INT Guardian^version^level; TERNAL;	INI	
\$SYSTEM.SAVE.EXAMPLE	*****	Below are the p Tandem Guardian	NOTE : The name an examp	The para are used can and	In this is calle Guardian	In this is calle Tandem G procedur	****	PROC before'T INT TOSVERS EXTERNAL;	Variable	PROC after^TO INT Guardia EXTERNAL;	Variable	
I. SAVE									DRESS			-
STEN	000	000	000	0000	0000	00000	000	000	E^AD	0000	VEL	c
[1] \$SY	000000000000000000000000000000000000000						000	0000000	TOSVERSION PROCEDURE ADDRESS	000000 000000 000000 1	guardian^version^level	
Page 5	66.	 900 100	122.		8877 800.10	,	888.7 89.	90. 91.	TOSVERSIO	0 0 0 0 0 0 4 0 0	GUARDIAN^	,

Copyright 1992, by Overlord Inc.				<pre>:= ["Copyright By Overlord Inc., 1992"], := ["Donald J. Kennedv "].</pre>		וו 'פ',	, a	່, ວຸ ແ		nt := @before^TOSVERSION^CALL,	ent := @after~TOSVERSION~CALL;		'O !!	:0 u:	
Copyright	ÆRSION;			.Copyright[0:15] .Author[0:8]	.overlord version[0:14]	ڻ	H	ហ	ž)	pre user hook present	post^hoser^hook^present		version level	TOSVERSION address	
\$SYSTEM.SAVE.EXAMPLE	INT PROC TOSVERSION;	PEGIN	-	INT	_	INI				INI		,	ENI		
S. M	00	00	٦,	<del></del> -	~	٠		⊶.			~		۲,	٠,	_
YSI	00		<b></b> ,	→ ⊷			_	٦,	٠,-	-	_		٦,	٦,	_
[1] \$3	000000	000000	00000	000000	000031	000000	000000	00000	00000	000000	00000	00000	000050	00000	050000
age 7	128. 129.	130.	132.	134.	135.	137.	138.	139.	141.	142.	143.	144.	145.	140	747

\$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.	50 1 1	50 1 1 1 NOTE: Using this program unit as a library program unit :	50 1 1   System Library;			: 	<pre>1 1 !</pre>	50 1 1 ! This program unit should then be compiled and BOUND with the proper 50 1 1 ! pre-exsisting System Library program unit prior to the OSIMAGE 50 1 1 ! being built.	1 1   WARNING : Do not CALL ABEND, DEBUG or STOP in	50 1 :   Chis program unit will be used as a system wintary 50 1 !   program unit.	50 1 1   With pre-exsisting User Library;		50 1 1   program unit should be created and linked to the proper program 50 1 1   unit(s).		1 1 ( OSEL LIDIALY)	50 1 1 ! This program unit should be compiled and then linked with the 50 1 1 ! proper program unit(s).	50 1 1
[1]	0000050	000000	000000000000000000000000000000000000000	000020	000020	000020	0000020	000050 000050 000050	0000020	000000000000000000000000000000000000000	000000000000000000000000000000000000000	0000000	0000050	0000050	000000	000000000000000000000000000000000000000	0000020
Page 8	150.	152.	154.	157.	150 150 150 150	161.	163.	165. 166. 167.	168. 169.	171.	173.	175.	177.	179	181.	182. 183.	185. 186.

eage 9 [1] \$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.		NOTE: Using this program unit as part of a MAIN program unit:	This program unit can be combined with a pre-exsisting MAIN program	A. BIND this program unit into a pre-exsisting MAIN program unit.	***************************************	
XSTE		1 1	,			4
[1] \$S	000050	000000000000000000000000000000000000000	00000	000000	000000000000000000000000000000000000000	00000
Page 9	188	191.	1000	196.	108.	.881

SUBSTITUTE SHEET (RULE 26)

Copyright 1992, by Overlord Inc.		INT .TOSVERSION^name[0:4] := ["TOSVERSION"],	TOSVERSION^name^length := 10;		STRING .s^TOSVERSION^name := @TOSVERSION^name '<<' 1;		TOSVERSION~address := SYSTEMENTRYPOINTLABEL ( s^TOSVERSION~name,	TOSVERSION^name^length );	
3	-	-	-	-	7	-	-	~	-
ž	0	0	5.	5 1	2	5 1	5	7 1	4 1
3	0000	0000001 1	000055	000055 1	0000	0000	0000	00012	00013
	201.	202.	203.	204.	205.	206.	207.	208.	209.

Page 11 [1] \$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.		"安全在全方面在在安宁市中的专业中的专业中的专业中的专业中的专业中的专业中的专业中的专业的专业的专业的专业的专业的专业的专业的专业的专业中的专业的专业的专业的专	! Check to see if the pre-user hook procedure is present and if so then CALL ]	! the before TOSVERSION CALL prior to executing the Tandem Guardian	! TOSVERSION CALL and pass the address of the TOSVERSION procedure.		[*************************************		IF pre^user^hook^present > 0 THEN	CALL before TOSVERSION CALL (TOSVERSION Address );	
EM.S.	щ.		_	-	_	_	_	, <b>-</b>	_		_
YST	<b>.</b>		-	_	<del></del> 4	_	_	_	_	<b>.</b>	_
ν·	134	134 134	134	134	134	134	134	134	34	137	142
[]	000134	000134 000134	000134	000	000	000134	000134	000	000134	000	000142
Page 11	211.	212. 213.	214.	215.	216.	217.	218.	219.	220.	221.	222.

SUBSTITUTE SHEET (RULE 26)

SUBSTITUTE SHEET (RULE 26)

	_		_	_	_	_							
Page 13 [1] \$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.			1 ! CALL the Tandem Guardian TOSVERSION procedure and get the Tandem Guardian	1 ![ operating system release level.				1 7RP 0	1	1 STACK TOSVERSION^address;	1 CODE ( DECL );	1 STORE version^level;	
XS		-			-	_	<b>-</b>	<b>.</b>	_	-	-	-	-
[1] \$\$	000142	000142	000142	000142	000142	000142	000142	000142	000142	000142	000143	000144	000145
Page 13	240.	242.	243.	244.	245.	246.	247.	248.	249.	250.	251.	252.	253.

SUBSTITUTE SHEET (RULE 26)

Copyright 1992, by Overlord Inc.		Check to see if the post user hook is present and if so then CALL the after TOSVERSION CALL prior to returing the value of the Tandem Guardian ]	Operating system Level to the originating program unit(s).	IF post user hook present > 0 THEN	<pre>ifter TOSVERSION CALL ( version level );</pre>
Page 14 [1] \$SYSTEM.SAVE.EXAMPLE	*********	Check to see if the	Operating system le       ********************************	i IF post^user^h	CALL After T
TEM.					
\$2X8	25	144	7 C C	22	٠ ا ا
Ξ	00014	0000	000145	00014	000150
Page 14	255. 256.	222 223 233 233 233 233 233 233 233 233	261. 262.	263 264.	265. 266.

SUBSTITUTE SHEET (RULE 26)

		7				_	_		_		_	_		Ŧ	
caye is (1) delinities of the control of the contro	-	***************************************		! NOTE : It is possible that the after TOSVERSION CALL procedure could	! execute a RETURN statement with it's own values which would return	! control to the originating program unit(s) and not execute the	! remaining intructions in this procedure.		! This could be of value to the user if some program unit(s) must	! be told that they are on a operating system version that they are	<pre>![ not really on, but do require that the real operating system versio</pre>	![ first be checked.		【《《《《《《《》》》《《《《《《》》》《《《《《《《》》《《《》》《《》》《	
	<b>.</b>	-	_	<del>П</del>	-	<del>г</del>	-	Н.	ᅼ	7	-	<del>-</del>	<del>-</del>	<del></del> 1	<b>-</b> -
}	33 1	33.1	53.1	33.	33.1	1	53.1	53.1	33	53.	33.1	33.1	53 1	53.1	33
3	0001	000153	000153	000153	000153	000153	000153	00015	000153	0001	000153	000153	0001	00015	000153
) 5 5	268.	269.	270.	271.	272.	273.	274.	275.	276.	277.	278.	279.	280.	281.	282.

SUBSTITUTE SHEET (RULE 26)

											034462 027117 070445 170411 003712	
											030471 030060 070434 024744 000025	
											026040 033056 070414 100012 170403	
											061456 027060 047516 070464 026047 040405	
	*	will o the	* * * * * * *								044556 031460 051511 000002 100011 044410	
		which will CALL to the	*****								062040 030103 042522 000454 003706 027000	
	•	ocedure ade the	*****			2140	o m w	s o	m 0 -	121-	067562 043471 051526 020376 000025 024711	
d Inc.	*	SION pr that m	*****			L+002 L+001 L+004 G+004	1+009 1+009 1+009	L+005 S-000	L+013 L+010 L+011	1111	071154 074440 052117 000000 170402 040412	
, by Overlord Inc.		the value from the Tandem Guardian TOSVERSION procedure control back to the original program unit that made the Guardian TOSVERSION procedure.	***************************************			Indirect Indirect Direct Direct	Direct Indirect Direct	Direct Direct	Indirect Direct Indirect	Direct Direct	000010 000030 000050 000070 000110 000130	
Copyright 1992,		fandem Gua original procedure	*****								073145 062544 030060 010401 026047 170413	
Copyrig		the Tar the or SION pr	*****	vel;			ini ini ini ini ini ini ini ini ini ini	tt	ring Fring	INI INI INI	020117 067156 030056 024700 100020 026047	
		e value from the ontrol back to the ardian TOSVERSION	******	version^level		AAAA	AAA	áá	544	iaa	041171 045545 040461 000454 003673 100005	
ω		the valu control Guardiar		N		riable riable riable riable	riable riable riable	riable riable	riable riable riable	riable	072040 027040 033056 020376 000025	
\$system.save.example	*	RETURN return Tandem (	*****	RETU	END;	Vari	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Vari	Varie Varie Varie	Vari	063550 020112 027060 000000 170401 000025	
. SAVE	*		<u>.</u> *.	· -							071151 066144 031460 010401 002055 170411	-
STEM			٠				ENT	Į.		GTH	7408040 1188040 00000	c
\$8X		2222 2322 1111					PRES	RESE	ME ESS	LEN	070171 067141 042103 024733 026047 027000	9
Ξ	00015	000153 000153 000153	0000	000	0001	FH	VERSIC R^HOOK^	"HOOK"	SION^NA ON^ADDR ON^NAME	ON^NAME LEVEL	041557 042157 046122 100000 030001 100017	
Page 16	2288 2885 166	288. 288. 289.	266	293.	295.	AUTHOR COPYRIGHT E G	DOVERLORD VERSION POST VERSENT	PRE USER HOOK PRESENT S	S^TOSVERSION^NAME TOSVERSION^ADDRES TOSVERSION^NAME	Tosversion^name^length Version^level	00000000000000000000000000000000000000	700

[1] \$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.	This procedure ( IF PRESENDSVERSION prior to the CALL) s user hook. The following I ways this user hook could be the pre-exsisting instruction process that TOSVERSION is Cletteen CALLS to TOSVERSION contains and control on chall options and control on challes in inventors guidance of the removed from this procedure.  L( TOSVERSION' procedure addrivations can be placed in the process it is, it will still be constructions can be placed in the deeded.	TOSVERSION^PROCEDURE^ADDRESS Variable INT Direct L-003	; 0 000000
Page 17 []	20000000000000000000000000000000000000	TOSVERSION PRO	

SUBSTITUTE SHEET (RULE 26)

\$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.	[ ************************************	" ~	A. Do not RETURN the value from TOSVERSION, instead RETURN another value.  B. Add additional computer instructions after the TOSVERSION CALL  Log a message that the pre-exsisting instructions CALL TOSVERSION.  D. Log a message of the times pre-exsisting instructions CALL TOSVERSION.	[ E. Count the times that TOSVERSION is CALLED. [ F. Calculate the time it took to call TOSVERSION. [ G. Gain access to pre-exsisting data values in the program unit(s). [ H. Change pre-exsisting data values in the program unit(s). [ ]	The user can change the scope of the original program unit by using this hock without one or more of the requirements listed earlier. This allows the user to have additional options and control on the modification and or leadancements of the pre-exsisting computer instructions without the need of the original authors and or inventors, guidance and or expertise.	[*************************************
STEM	00000	000	0000	0000	00000	00000
SYS	00000	000	0000	00000	00000	0000
(1)	00000		00000		000000000000000000000000000000000000000	
Page 18				,	2000 00 00 00 00 00 00 00 00 00 00 00 00	98999999999999999999999999999999999999

age 19 [1] \$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.	·*************************************	![ ![ NOTE : This procedure can be removed from this program unit if needed.	<pre>![     If left empty, it will still be called, but no additional</pre>	[ logic will be executed.	User computer instructions can be placed in this area that should be executed after the the REAL TOSVERSION procedure CALL	is made, if needed.	· * * * * * * * * * * * * * * * * * * *	
E C	00	00	00	00	00		00	. 0
SYSI						٦,		ı —
£ [1]	000000	00000	00000	000000	000000	000000	000000	000000
age 19	372. 373.	374.	376. 377.	378. 379.	380. 381.	382.	383.	385.

SUBSTITUTE SHEET (RULE 26)

[1] \$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.		EXAMPLE: This hook will allow pre-exsisting program unit(s) using this program unit as a library and making a CALL to TOSVERSION from the original program unit(s), to also CALL the RESERVELCBS procedure, before returning control back to the original program unit(s).	Some of the pre-exsisting program units may CALL the Tandem Guardian procedure RESERVELCBS later, in this case the later CALL will override this CALL to RESERVELCBS and may require that the RESERVELCBS and may require that the lates the intercepted and similar user hook logic be placed in the user hook "before'RESERVELCBS'CALL".	NOTE: The RESERVELCES intercept procedure as well as the before RESERVELCES CALL and after RESERVELCES CALL are not included in this example but the intercept CONCEPT would be the same, and could also be incorporated in this program unit.	Other pre-exsisting program unit(s) may not currently CALL the RESERVELCES procedure. This user hook will allow these original pre-exsisting program unit(s) to be modified and enhanced to CALL the RESERVELCES procedure if the proper system resources are available and these pre-exsisting program unit(s) make a CALL to the Tandem Guardian TOSVERSION procedure.	***************************************
EM. SA	00000					
YST	пппппп					
(1) \$3	000000					
Page 20	3383 3388 3010.	, , , , , , , , , , , , , , , , , , ,	244444 0000 0000 0000	4444444 00000 40000 4000	4444444 4444444 644646	418.

```
"Copyright 1992, By Overlord Inc."], "Donald J. Rennedy "], "G90C30.06.00.0LRDC30.06.A10.00"];
                                             Copyright 1992, by Overlord Inc.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                deita^time^cpu^idle;
items^on^ready^list;
delta^time^ready^queued;
page^fault^count;
ltems^queued^fou^memory;
delta^time^memoryqueued;
dispatch^count;
delta^time^send^busy;
cache^hit^count;
pcb^free^count;
memory_locked;
current^syspool;
current^nappool;
current^les;
current^les;
current^les;
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      STRUCT cpu^current^values( * );
                                                                                                                                                                                                                                                                                             .Copyright[0:15]
.Author[0:8]
.overlord^version[0:14]
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      cpu^config^values( * );
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             BEGIN FIXED FIXED INT (32) FIXED INT FIXED INT
     $SYSTEM.SAVE.EXAMPLE
                                                                                                                                                                                                   BEGIN
     Ξ
Page 21
```

Copyright 1992, by Overlord Inc.	<pre>\$LEN( cpu^config^values   - 1 ) / 2 ], \$LEN( cpu^current^values   - 1 ) / 2 ], g^values   = cpu^config^buffer, nt^values   = cpu^current^buffer, iame;</pre>	:= \$XADR( cpu^config^buffer ), := \$XADR( cpu^current^buffer );		<pre>:= 0, := 0, := \$LEN( cpu^config^values ), := \$LEN( cpu^current^values ), := [ 12 * [" "]];</pre>
	.cpu^config^buffer [0:( .cpu^current^buffer[0:( .cpu^config ( cpu^confi .cpu^current( cpu^curre .current^program^file^n	<pre>I.EXT x^cpu^config^buffer .EXT x^cpu^current^buffer</pre>	<pre>my^cpu my^system^number my^pin my^pin current^percent^lcbs^free allocate^aend^lcbs</pre>	allocate receive lobs program loop counter cpu config length cpu current length .my program file name [0:11]
\$SYSTEM.SAVE.EXAMPLE	INI	INI	INI	
K.S.			••	-
STE				144444
\$SX	0000000		-4444	000004
Ξ	000000000000000000000000000000000000000			000000000000000000000000000000000000000
Page 22	44444444 000000000 00000000000	444 470 170	4444444 777444444444444444444444444444	44444 600888 60010.00

4PLE
EXS.
I. SAVE
YSTEM
\$
Ξ
23
age

Copyright 1992, by Overlord Inc.

	The threshold and table settings are located here	NOTE : To add more programs change "max'programs" to increase the size of	and RECEIVE LCB values to the proper values for each program in the program in the program file name table. Leave unused entries as "UNUSED* so the program in the property of the p	empry rable space will not be checked.		INT lcb^saftey^threshold := 40; ! At least 40% of the LCB's must be free ! in order to RESERVE the LCB's in the	! table. IF not, no LCB's will be reserved ! even if the file name is found.	-	entry length = 6, 1 Size of	table size a max programs * entry length;	INT program^file^name^table[0:table^size - 1] :=	Prodram Reserve Reserve Prodram	SEND RECEIVE	וווווווווווווווווווווווווווווווווווווו	RV ", 2	1		70		"OLORDSYS", 1 , 1 , 9		70		2		FIXED my^time := 0F;	U.	B II	n :
		1-1-1-1		<b></b>								<b>→</b> ←	<b></b> -		<b>,</b> 1 •	<b>-</b>	<b></b>		<b></b> ,	٠.	н,			⊶.	<b>-</b>			<del></del>	
	-													-							,	<b></b>	-				4 ~	- -	<del>-</del>
000064	00000	00000	00000	00000	0000064	000064	000064	000064	00000	000064	000064	000064	000064	0000	000064	000100	000106	000122	000130	000136	000152	000166	000174	000202	000216	000216	000216	000320	000330 000332
486.	488	4901.	4.4.4 0.00 0.4.0	400.	497.	500	501. 502.	503	505	506.	508	510.	511.	513.	514.	516.	517.	519.	520.	521.	523.	525	526.	527.	529.	530.	532.	533. 534.	535. 536.

	++		
age 24 [1] \$SYSTEM.SAVE.EXAMPLE Copyright 1992, by Overlord Inc.	Get current system status to see what percent of LCB's are free	CALL GETPEEKCONFIGURATION( my^cpu, x^cpu^config^buffer, cpu^config^length, my^time, my^time, my^pin, my^pin, my^system^number );	CALL GETPEENSIATISTICS ( my~cpu,
STEM.		<b>і</b> даааааа	
\$ S Y.	444444	, , , , , , , , , ,	
Ξ	000000000000000000000000000000000000000	0000332 0000332 0000332 0000332 0000332 000332 000332	00000000000000000000000000000000000000
age 24	00000000000000000000000000000000000000		ກຸດທຸດທຸດທຸດ ກຸດທຸດທຸດທຸດ ກຸດຄຸດຄຸດ ກຸດຄຸດຄຸດຄຸດ

```
allocate^send^lcbs := current^program^file^name[4];
allocate^receive^lcbs := current^program^file^name[5];
CALL RESERVELCBS( allocate^send^lcbs, allocate^receive^lcbs );
END;
                                                                                                                                                                  current^program^file^name = my^program^file^name[8] FOR BEGIN
                                                                                                                                            - 1 ) DO
                                                                                                                                                                                                                                        IF lcb^saftey^threshold < current^percent^lcbs^free THEN BEGIN
                                                                                @current^program^file^name := @program^file^name^table;
                                                                                                                             L PROGRAMFILENAME ( my*program*file*name );
OR program^loop*counter := 0 TO ( max*programs
BEGIN
          Copyright 1992, by Overlord Inc.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                , 102
                                                                                                                                                                                                                                                                                                                                                                                                                                 , 20
                                                                                                                                                                                                                                                                                                                                               0.122222
0.0222222
0.02220
0.0222
0.0222
0.0222
0.0222
$SYSTEM.SAVE.EXAMPLE
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        DELTA-TIME-CPU-IDLE
ITEMS-ON-READY-LIST
DELTA-TIME-READY-QUEUED
                                                                                                                                                                                                                                                                                                                                              ALLOCATE RECEIVE LCB
ALLOCATE SEND LCBS
COPYRIGHT
CPU CONFIG BUFFER
CPU CONFIG LENGTH
CPU CONFIG ALUES
1 TOTAL PECBS
1 MAPPOOL SIZE
1 MAPPOOL SIZE
1 TOTAL LES
1 TOTAL LES
1 TOTAL BES
CPU CURRENT BUFFER
```

		061456 027117 020000 0000002 047522 020001 024711 170401 024711 044416 044416 044406 044416
		044556 030050 020040 0500020 0475115 0475115 070040 070040 070415 070415 070415 070415 070415 070415
		062040 033056 020040 020040 043011 041516 001010 002601 002601 002601 002601 002601 002601 002601 002601 002601
		057562 027060 025070 045070 045516 045511 052111 005201 007524 007524 100152 040423 040423 040423 040423
		0311154 0311460 0220040 0401117 0401117 0401117 0401117 0401117 040114 044406 044406 044406 044406 044406 044406 044406
		03731145 03731145 0401517 0401517 0401517 0401517 04017 04017 04017 04017 04017 04017 04017 04017 04017 04017 04017 04017 04017 04017
	11	043411 043411 0200040 0200040 0510000 0510000 044114 0444114 0444114 0444114 0444114 0444114 0444114 0444114 0444114
rd Inc.	100   100	041111 0741410 020040 020040 040060 040060 025125 025125 000000 000000 000000 000000 000000 0000
by Overlord	Direct Direct Direct Direct Direct Direct Direct \$000006 Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct Direct	0000010 0000030 000050 000110 000110 000110 000210 000210 000310 000310 000310 000310 000310 000310
1992, 1		04004088000146610011
		00000000000000000000000000000000000000
Copyright	HANDERS OF THE PROPERTY OF THE	034462 067156 0930056 0920056 0942503 0942503 094213 094213 0940413 0940413 09404113
		030471 045471 0404461 0404123 0200000 0200000 0200000 000000 000000 040420 040420
	64,2 ariable	072040 033056 0431056 041111 0200001 0000001 0000001 0000000 00000000
EXAMPLE	Variagiagiagiagi	063550 0201150 020160 020040 050040 050040 070740 070740 070740 070741 0
, \$SYSTEM.SAVE.EXAMPLE	RREE FAME	071151 0661454 031460 0270240 0431254 06131254 061501 0621505 000002 000002 000002 0000156 0000156
\$SYSTE	UNT ED POOL S S S A CEBS A A CALCES A CALCES A C	00001171 0001171 00001171 000001170 0001175 0001175 0001175 0000177 00001777 0001177
Ξ	PCB^FREE^COUNT MEMORY_LOCKED CURRENT^ASYSPOOL CURRENT^ALES CURRENT^ILES CURRENT^ILES CURRENT^ILES CURRENT^FLES CURRENT^FLES CURRENT^FLES CURRENT^FLES CURRENT^FLES CURRENT^FLES CURRENT^FLES CURRENT^FLES RENT^PROGRAM^FILE SAFTEY^THRESHOLE SAFTEY^THRESHOLE SAFTEY^THRESHOLE SAFTEY^THRESHOLE SAFTEY^THRESHOLE SAFTEY^THRESHOLE SAFTEY^THRESHOLE SAFTEY THRESHOLE SAFTEY SAFTEY THRESHOLE SAFTEY SAFTE	0411557 026122 026020 026020 026020 026103 026103 0024111 002132 00002132 0000132 010406 010406 010406 010406
Page 26	1 PCB^FREE^COUNT 1 MEMORY^LOCKED 1 CURRENT^SYSPOOL 1 CURRENT^SYSPOOL 1 CURRENT^LES 1 CURRENT^PES 1 CURRENT^PES 2 CURRENT^PES 2 CURRENT^PES 3 CURRENT^PES 6 CURRENT^PES 6 CURRENT^PES 6 CURRENT PROGRAM FILE NAME 6 CURRENT PROGRAM FILE NAME 6 CURRENT PROGRAM FILE NAME 6 COURTENT PROGRAM FILE NAME 6 COURTENT SYSTEM NUMBER 6 COURTENT SYSTEM NUMBER 6 COURTENT SYSTEM NUMBER 6 COUNTER SYSTEM STABLE 6 COUNTER STABLE STABLE 7 COUNTER STABLE ST	00000000000000000000000000000000000000

SUBSTITUTE SHEET (RULE 26)

₹
þ
8
Ä

במלט בי נין לכניניינייני מי מיינייניני בי מייניינייני בי מיינייניינייניינייניינייניינייניינייניינ			OI(
ABEND	Proc		External
AFTER TOSVERSION CALL	Proc		8000216
BEFORE TOSVERSION CALL	Proc		\$000000
DEBUG	Proc		External
GETPEEKCONFIGURATION	Proc	, INI	External
GETPEEKSTATISTICS	Proc	INI	External
MYPID	Proc	INI	External
Mysystemnumber	Proc	INI	External
PROGRAMFILENAME	Proc	·	External
RESERVELCBS	Proc		External
SYSTEMENTRYPOINTLABEL	Proc	INI	External
TOSVERSION	Proc	INI	\$000055

SUBSTITUTE SHEET (RULE 26)

		DATE TIME LANGUAGE SOURCE FILE	04DEC92 12:28 TAL \$SYSTEM.SAVE.EXAMPLE 04DEC92 12:28 TAL \$SYSTEM.SAVE.EXAMPLE \$SYSTEM.SAVE.EXAMPLE
LOAD MAPS		Д	
	FOR FILE: \CLXA.\$WORK.COE.cpatent2	NAME	AFTER TOSVERSION CALL BEFORE TOSVERSION CALL TOSVERSION
	LE: \CL	ATTRS	
;	E FOR FI	ENTRY	000401 000162 000062
	BY NAM	LIMIT	000642 000162 000161
	ENTRY POINT MAP BY NAME	BASE	000163 000162 000005
	RY PO	PEP	0003
	ENI	SP	000

WO 94/14114 PCT/US93/11506

- 51 -

## WHAT IS CLAIMED IS:

1. An apparatus for translating one or more steps of a pre-existing method for carrying out a predetermined function, wherein user defined steps can be incorporated therein, comprising:

circuitry for detecting a step from the pre-existing method which is a candidate for a translation; and

circuitry for determining if a previously defined, user supplied, pre-translation set of steps is to be executed before executing any predetermined translation steps, and in response to the determining steps, executing the set of pre-translation steps where indicated.

15

20

25

30

10

5

- 2. An apparatus according to claim 1 further including means for determining if a previously defined, user supplied, post-translation set of steps is to be executed after executing any predetermined translation steps, and in response thereto, executing the post steps where indicated.
- 3. A process of translating one or more steps of a pre-existing method for carrying out a predetermined function, wherein user defined steps can be incorporated therein, in accordance with the apparatus of claim 1, comprising:

detecting a step from the pre-existing method which is a candidate for a translation; and

determining if a previously defined, user supplied, pre-translation set of steps is to be executed before executing any predetermined translation steps, and in response to the determining step, executing the set of pre-translation steps where indicated.

35

5

10

15

20

25

35

4. The process of claim 3 further including the step of:

determining if a previously defined, user supplied, post-translation set of steps is to be executed after executing any predetermined translation steps, and in response thereto, executing the post steps where indicated.

5. A method of executing a predefined set of steps, including altering one or more of the steps in a predetermined fashion wherein user defined steps can be incorporated therein, in accordance with the apparatus of claim 1, comprising:

detecting a step which is a candidate for alteration;

executing the altering steps; and
determining if a previously defined, user
supplied, post-alteration set of steps is to be executed
after executing the set of post-alteration steps where
indicated.

6. The method of claim 5 further including, after the detecting step, the step of:

determining if a previously defined, user supplied, pre-alteration set of steps is to be executed before executing any predetermined altering steps, and in response to the determining step, executing the set of pre-alteration steps where indicated.

7. A method of intercepting and modifying pre-existing instructions at run time in a computer program being executed in an apparatus as in claim 1, comprising:

intercepting a selected instruction and determining if it is a candidate for modification;

WO 94/14114 PCT/US93/11506

- 53 -

determining if an alterable, previously defined, pre-modification set of instructions is to be executed, and in response thereto, executing the pre-modification set of instructions, if any; and

modifying or executing the intercepted instruction.

5

10

25

30

35

8. The method of claim 7 further including the step of:

determining if an alterable, previously defined, post-modification set of instructions is to be executed, and in response thereto, executing the post-modification set of instructions, if any.

9. A method of allocating resources within a multiple node, multiple processor system, wherein at least some of the nodes are spaced apart and are interconnected by communication links, wherein one or more of the processors includes an apparatus as in claim 1, the method comprising:

carrying out a sequence of steps in a predetermined process in a selected processor at one of the nodes:

detecting a step in the sequence which is to be carried out and which is a candidate for run-time modification;

intercepting the detected step and evaluating if a previously defined, operator supplied, pre-modification set of steps exists;

interrupting the sequence and executing the operator supplied pre-modification set of steps as indicated:

modifying the candidate step using a predetermined sequence of one or more predetermined modifier steps;

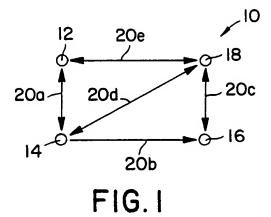
5

- 54 -

subsequent to the modifying step, evaluating if a previously defined, operator supplied, post-modification set of steps exists;

executing the operator supplied, post-modification set of steps as indicated; and

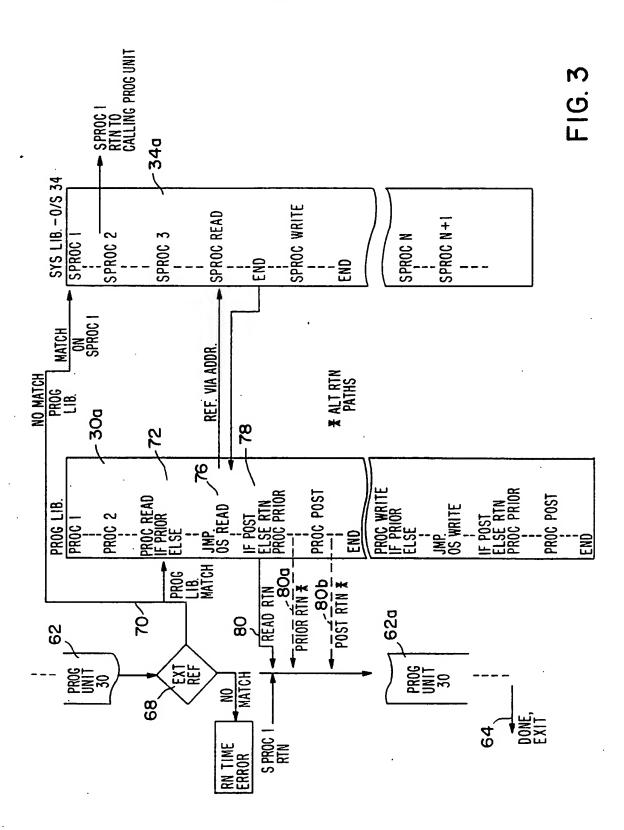
returning to the sequence of steps immediately after the detected step, thereby continuing the process.

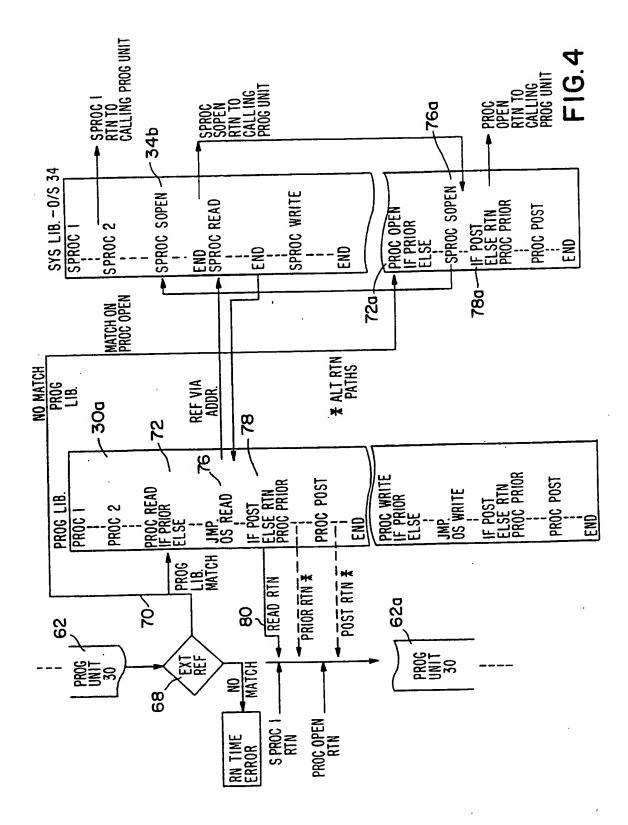


OPERATOR INTERF.

| 30a | 36 |
| PROG. | 30 |
| O/S 34 |
| CPU 32

FIG. 2





## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/11506

A. CLASSIFICATION OF SUBJECT MATTER			
IPC(5) :GO6F 9/00; 13/14 US CL :395/375			
According to International Patent Classification (IPC) or to both national classification and IPC			
	DS SEARCHED		
	ocumentation searched (classification system followed	d by classification symbols)	
U.S. :	395/375, 700		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)			
APS: search terms: service routine, request, call			
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where ap	ppropriate, of the relevant passages	Relevant to claim No.
×	US, A, 5,109,515 (Laggis et al), 28 April 1992 See abstract. See column 2, lines 37-60, column 3, lines 4-29, column 5, lines 44-68, column 6, lines 14-36, column 7, lines 11-35, and figures 3 and 4.		1-9
Y,P	US, A, 5,241,634 (Suzuki) 31 August 1993 See abstract, column 3, lines 37-68, column 4, lines 1-6 and 11-47.		1-9
X Y	US, A, 4,768,150 (Chang et al) See abstract, column 2, lines 65- 53.		1-9
X Further documents are listed in the continuation of Box C. See patent family annex.			
Special categories of cited documents:     T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the			
Lo	cument defining the general state of the art which is not considered be part of particular relevance	principle or theory underlying the inv  "X" document of particular relevance; the	•
	lier document published on or after the international filing date cument which may throw doubts on priority claim(s) or which is	considered novel or cannot be considered when the document is taken alone	
cit	ed to establish the publication date of another citation or other scial reason (as specified)	'Y' document of particular relevance; the	
	cument referring to an oral disclosure, use, exhibition or other	considered to involve an inventive combined with one or more other suc being obvious to a person skilled in the	h documents, such combination
	cument published prior to the international filing date but later than priority date claimed	*&* document member of the same patent	•
	actual completion of the international search	Date of mailing of the international sec	arch report
19 Januar	y 1994	MAR 03 1994	
	nailing address of the ISA/US ner of Patents and Trademarks	Authorized officer	
Box PCT	n, D.C. 20231	Parsh Lali ACCC	
Facsimile No. NOT APPLICABLE Telephone No. (703) 305-9715			

## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/11506

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
ζ  /	US, A, 5,124,909 (Blakely et al) 23 June 1992 See abstract, column 1, lines 25-68, and column 2, lines 1-13.	1-9
	·	
:		
	•	1